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#51205
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C. McKinney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants : Brian SAGER and Peter A. WHITE :
Serial No : 09/ 658, 550
Filed : 8 September 2000
For : **RETROREFLECTIVE MATERIALS**
Group : 2872
----- X

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Honorable Commissioner of
Patents and Trademarks
Washington DC 20231

**INFORMATION DISCLOSURE STATEMENT
UNDER PATENT RULES 97 AND 98**

Dear Sir:

Applicants submit this Information Disclosure Statement pursuant to 37 CFR §1.97 and 1.98.

This application has not received a first Office Action on the merits. Accordingly, this Information Disclosure Statement is being filed under 37 CFR §1.97(b)(3), i.e., before the mailing date of a first Office Action on the merits.

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United Parcel ServiceNext Day Track Number J075 581 144 8 in an envelope addressed to: Honorable Commissioner of Patents and Trademarks, Washington, DC 2023

Charles E. Baxley

Attorney's Name

Charles E. Baxley

Signature

December 27, 2000

Date

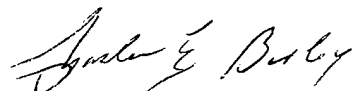
INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR §1.98, a list of patents, publications or other information submitted for consideration by the PTO is provided on annexed form PTO - 1449. A legible copy of each item of information listed on the Form is submitted herewith.

As to each item of information, if any, which is not in the English language, a concise explanation of the relevance thereof pursuant to 37§1.98(a)(3) is attached.

Consideration of this information disclosure statement is respectfully requested.

Respectfully,



Charles E. Baxley
Attorney of Record
USPTO Reg. 20, 149
59 John Street
New York, New York 10038
Telephone (212) 791-2000
Telecopier (212) 791 -7676

New York, New York
December 27, 2000

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant Brian Sagar and Peter A. White
 Serial No. 09/658,550
 Filed September 8, 2000
 For RETROREFLECTIVE MATERIALS



Docket Number 11619 B
 Art Unit
 Examiner

Transmitted herewith is the following:

☐ Application for Letters Patent
☐ Application for Design Patent
☐ Sheets of Drawings
☐ Assignment for Recordation
☐ Amendment
☐ Issue Fee Transmittal Form
☒ Other Acknowledgement postcard; Information Disclosure Statement under Patent Rules 97 and 98; Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT - 7 Pages and copies of 52 References cited thereon.
☐ Trademark Application
☐ Service Mark Application
☐ Affidavit under Section 8 & 15
☐ Application for Renewal
☐ Notice of Appeal
☐ Brief on Appeal (in triplicate)

CLAIMS AS FILED				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$65.00
TOTAL CLAIMS	10		X \$2.00	
INDEPENDENT CLAIMS	10		X \$10.00	
TOTAL FILING FEE				

CLAIMS AS AMENDED						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) MINUS	(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEE
TOTAL CLAIMS		MINUS		=	X \$2	X
INDEP. CLAIMS		MINUS		=	X \$10	X
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						

* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 3.
 * If the "Highest Number Previously Paid For" IN THIS SPACE is less than 10, write "10" in this space.

XXX The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435. A duplicate copy of this sheet is enclosed.

A check in the amount of \$ _____ is enclosed.

XXX I hereby certify that this correspondence is being deposited with the United States Postal Service/First Class Mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D. C. 20231 on December 27, 2000 via UPS Next Day Air Tracking No. J075 581 144 8.

Respectfully submitted,

Dated: December 27, 2000
 CHARLES E. BAXLEY
 Hart, Baxley, Daniels & Holton
 59 John Street, Fifth Floor
 New York, New York 10038
 (212) 791-7200

Charles E. Baxley
 CHARLES E. BAXLEY
 USPTO REG. 20,149 \

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PTO-1449
 EV. 7-801

 U.S. DEPARTMENT OF COMMERCE
 PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

11619

SERIAL NO.

09/658,5500

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Brian SAGAR, and Peter A. White

FILING DATE

September 8, 2000

GROUP

2872

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION!	
							YES	NO
	GL	9 8 2 8 6 4 3	1997 4 Dec.	WIPO				
	GM	9 8 4 5 7 3 5	1997 30 July	WIPO				
	GN							
	GO							
	GP							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449
(REV. 7-80)

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
FL	9 4 0 6 8 6 9	1993 22 Sept.	WIPO				
FM	9 5 1 4 2 4 8	1994 17 Nov.	WIPO				
FN	9 6 1 7 2 6 4	1995 30 Nov.	WIPO				
FO	9 6 1 0 5 2 2	1996 6 Aug.	WIPO				
FP	9 7 1 2 2 8 4	1996 26 Sept.	WIPO				

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AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION!	
						YES	NO
EL	0 6 7 2 9 2 0	1992 30 Oct.	European Patent				
EM	0 6 9 3 6 9 7	1995 21 July	European patent				
EN	0 7 2 9 5 9 2		European Patent				
EO	0 8 0 4 1 4 3	1997 16 May	European Patent				
EP	0 0 4 5 9 7 9	1981 5 Aug.	European patent				

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AK					

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						YES	NO
CL	2 2 1 4 9 1 5	1988 9 Sept.	Great Britain				
CM	2 2 4 1 1 7 9	1991 20 Feb	Great Britain				
CN	2 3 1 6 0 2 1	1997 8 Aug.	Great Britain				
CO	2 3 2 1 5 6 5	1998 28 Jan.	Great Britain				
CP	2 3 2 1 8 1 4	1997 28 Jan.	Great Britain				

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BA	4 6 0 5 4 6 1	December 6, 1984	Hisao Ogi, Shiga, Japan			
BB	5 1 2 8 8 0 4	February 6, 1991	Lightle et al			
BC	5 2 0 0 2 6 2	April 1, 1992	Wu-Syong Li, Woodbury, Minn.		TC2	
BD	5 2 6 9 8 4 0	February 4, 1992	Morris et al			
BE	5 3 5 8 7 5 0	August 13, 1993	Frank Brookfield Rochdale, England			
BF	5 6 5 0 2 1 3	November 30, 1994	Rizika et al			
BG	5 8 3 7 3 4 7	July 9, 1997	Paul E. Marecki Washington County Minn.			
BH	5 9 0 0 9 7 8	November 17, 1994	Sagar et al			
BI						
BJ						
BK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION!!	
						YES	NO
BL	1 4 4 7 5 8 5	1974 21 March	Great Britain				
BM	1 4 5 1 1 7 0	1974 11 April	Great Britain				
BN	1 5 4 7 0 4 3	1976 17 Feb.	Great Britain				
BO	2 1 6 2 6 2 8	1985 16 May	Great Britain				
BP	2 1 6 5 7 7 3	1985 21 Oct.	Great Britain				

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AA	3 2 5 1 7 0 4	April 17, 1961	Alfred H. Nellessen			
AB	3 5 3 5 0 1 9	October 25, 1967	Richard A. Longlet and John Richard Keller			
AC	3 7 5 8 1 9 2	September 11, 1973	Wallace Karl Bingham			
AD	3 8 3 5 0 8 7	December 1, 1972	Charles E. Searight, Et. Al.,			
AE	4 1 0 2 5 6 7	June 14, 1976	James H. Harper, North Saint Paul Linda S. Smith, Saint Paul Minn.			
AF	4 1 0 3 0 6 0	February 7, 1977	Bingham et. al			
AG	4 1 0 4 1 0 2	August 12, 1976	Eagon et.al.			
AH	4 2 6 3 3 4 5	November 16, 1979	Wallace K. Bingham			
AI	4 2 6 5 9 3 7	May 14, 1979	Kameya et., Al			
AJ	4 3 6 7 9 2 0	June 19, 1981	Tung Et., Al			
AK	4 4 7 1 3 8 6	April 28, 1982	Richard H. Tuhro			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL	9 4 0 4 2 4	4 Feb 59	Great Britain				
AM	1 1 7 5 6 9 2	4 Nov 66	Great Britain				
AN	1 2 3 5 3 2 7	3 Jul 68	Great Britain				
AO	1 4 2 4 7 5 4	22 Jan 73	Great Britain				
AP	1 4 4 7 5 8 5	21 Mar 74	Great Britain				

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	3M Product Bulletin - Industrial Optics
AR	3M Special Effects Projection Screens
AS	
AT	

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